



# Interim Safety Management Certificate

Issued under the provisions of the International Convention for the Safety of Life at Sea 1974, as amended, under the authority of the Government of the United Kingdom of Great Britain and Northern Ireland by Lloyd's Register Asia.

| Particulars of Ship           |   |
|-------------------------------|---|
| Name of ship                  | <b>ZEPHYR LUMOS</b>   |
| Distinctive number or letters | <b>MIFV2</b>  |
| Port of registry              | <b>London</b>   |
| Type of ship                  | <b>Other Cargo Ship</b>   |
| Gross tonnage                 | <b>149,525</b>  |
| IMO number                    | <b>9864227</b>  |
| Name of Company <sup>1</sup>  | <b>ZODIAC MARITIME LTD</b>  |
|                               |   |
| Address of Company            | <b>PORTMAN HOUSE<br/>2 PORTMAN STREET<br/>LONDON W1H 6DU<br/>UNITED KINGDOM</b> |
|                               |   |
| Company identification number | <b>0034961</b>  |

This is to certify that the requirements of paragraph 14.4 of the ISM Code have been met and that the Interim Document of Compliance of the Company is relevant to this ship.

This Interim Safety Management Certificate is valid until **05 July 2021** subject to the Interim Document of Compliance remaining valid.

Completion date of the verification on which this certificate is based **06 January 2021**

Issued at: **Ulsan** on: **06 January 2021**



S.S.Noh  
Surveyor to Lloyd's Register Asia

a member of the Lloyd's Register group.

The validity of this Interim Safety Management Certificate is extended to



Extended at on:

Signed:

<sup>1</sup> See paragraph 1.1.2 of the ISM Code

\* Delete as appropriate

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|  |   |   |   |
|--|---|---|---|
| <b>SHIP</b>  |   | <b>INTERIM SMC</b>  |   |
| Name   | ZEPHYR LUMOS  | Number  | 2098046   |
| IMO  | 9864227   | Issued by   | Lloyd's Register                                |
| Distinctive Numbers or Letters   | MIFV2   | Expiry Date   | 05 July 2021                                    |
| Flag   | UNITED KINGDOM  | <b>CREW NATIONALITIES</b>   |   |
| Port of Registry   | London  | Nationalities of crew on board  | Bulgarian, Ukrainian, Romanian, Russian, Indian |
| Gross Tonnage  | 149,525   | Total Number of Crew  | 22  |
| Type   | OTHER CARGO SHIP  | <b>COMPANY</b>  |   |
| Multi Ship Type  |   | Name  | ZODIAC MARITIME LTD                             |
| Class  | Lloyd's Register  | ID Number   | 0034961   |
| <b>COMPANY</b>   |   | Address   | PORTMAN HOUSE<br>2 PORTMAN STREET               |
| Name   | ZODIAC MARITIME LTD   | City, Zip   | LONDON W1H 6DU,                                 |
| ID Number  | 0034961   | Country   | UNITED KINGDOM                                  |
| Address  | PORTMAN HOUSE<br>2 PORTMAN STREET   | <b>DOC</b>  |   |
| City, Zip  | LONDON W1H 6DU,   | Number  | LIV/1900/1600042                                |
| Country  | UNITED KINGDOM  | Flag  | United Kingdom                                  |
| <b>AUDIT DETAILS</b>   |   | Issued by   | Flag  |
| Procedure  | MMS-040   | Issue Date  | 09 June 2016                                    |
| Controlling Office   | MMSO UKI  | Expiry Date   | 09 July 2021                                    |
| Audit Location   | ULSAN, SOUTH KOREA  | Endorsed  | 28 September 2020                               |
| Start/Completion   | 04/01/2021 - 06/01/2021   | <b>FINDINGS RAISED AT THIS AUDIT</b>  |   |
| <b>CONCLUSION &amp; RECOMMENDATION</b>   |   | OBS Raised nil  |   |
| As the vessel was found complied with relevant requirements and ISM code. Interim Certificate valid for 6 months now issued.     |   | <b>STATUS OF PREVIOUS FINDINGS</b>  |   |
| The vessel has been audited against the requirements of the ISM-Code and was found to be satisfactory unless otherwise indicated |   | n/a   |   |
| Lead Assessor  | SIGN  | <b>ACTION</b>   |   |
| SANG-SOO.NOH   |  | INTERIM SAFETY MANAGEMENT CERTIFICATE ISSUED  |   |
| and assessor   |  | This report has been presented to the Company representative and the content explained. |   |
| SEUNG-WOOK.CHEON   |   | Client Name   | Prayrit Shipping Inc                            |
| 06/01/2021   |   | DIMITROV RUMEN HRISTOV  | M/V ZEPHYR LUMOS                                |
| <b>Attached to this report</b>   |   | Master  | UNITED KINGDOM                                  |
| <input checked="" type="checkbox"/> Audit Plan   | <input type="checkbox"/> (closed) NCN(s)  | <input checked="" type="checkbox"/> Crew- /Fleetlist                                    |   |
|  |   | <input checked="" type="checkbox"/> Certificate(s)                                      |   |

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| PRIORITY ITEMS  |   |
|---|---|
| Availability, knowledge & implementation rules, regulations and flag requirements, including EU & IMO CO2 monitoring. (Cl. 1.2.3 and 6.4) | Found relevant national requirements, the maritime regulations, and the marine notice are available on board and also found that senior officers are familiar with Rule requirement. SEEMP Part II is monitoring by LR(28/12/2020), found certificate is on-board.  |
| Implementation of risk management controls, including cyber risk management (1.2.2.2)   | Risk management was incorporated in Safety Management System and found assessed all identified risks to the vessel.   |
| Ship/ shore communication and follow-up including communication with the DPA (4 & 6.7)  | Capt. (Mr.Dimitrov Rumen Hristov) and Crews are aware as noted during the interview. Contact details were posted and readily available on board.  |
| Status on ship(s) statutory & class certification including any outstanding findings (1.2.3)  | All statutory & class certificates were issued by LR and sighted on board during audit. Found Master is well known the certificates status.   |
| Shipboard familiarisation & training for officers & crew (6.3)  | Shipboard familiarization records for officers and crews sighted. Sampled familiarizations records for each rank/position were sighted in order.  |
| Status on contingency plans & drills including evaluation and reporting (8). For UK Flag a drill must be witnessed and reported on.       | Vessel contingency plan is in place. Contingency plan and drills plan to be established in Contingency Manual and held in accordance with Drills & Training Matrix. During audit, Fire drill & abandon ship drill carried out for ship's familiarisation before ship's departure and found to be effective. |
| Status on ships (planned) maintenance for deck & engine-room including FFA, LSA & 'critical equipment' (10.2)                             | Company is running Planned Maintenance System with computer programmed base, ZRS system is using for deck, ER and Safety equipment and initiated maintenance to the ship.   |
| Language and communication on board (6.7)   | English is the declared working language onboard and all officers were adequate in English. Interviewed crew members shown a good command and communication in English.   |
| Specific shipboard operations for this type of ship addressed (7)   | Specific shipboard operations for this type of ship were addressed in SMS. The crews were found familiar with the operation and arrangements.   |
| Master and officers familiarity with the SMS (6.1.2 & 6.5)  | Master and officers found familiar with SMS requirements. Familiarizations checklists specific to each rank of officer were provided and records sighted in order.  |
| Chart corrections, NTM's & nautical publications (7)  | Nautical publications were available on board. ECDIS is in use and Notice to mariner found up to dated.   |
| Report of Accidents and Hazardous Occurrences including investigation and associated corrective actions (9)                               | Report of accidents and hazardous occurrences including investigation and associated corrective actions duly addressed as per Company Procedure in SMS on board.  |
| Review of changes made to the SMS, note revision status (11)  | Revision status of SMS was controlled by Record of Revision History on SMS. Reviewed change of revision status and found up to dated(December 2020)   |

|   |   |  |
|---|---|--|
| Planning to conduct the internal audit and follow up prior to the initial audit (12.1 & 14.4.3)                   | Company has established plan to conduct an internal audit within 3 months.  |  |
| Sample STCW requirements. (licences, COC's/ CRA, medical fitness, working arrangements, hours of rest etc.) (6.2) | Sample STCW requirements. (licenses, COC's/ CRA, medical fitness, working arrangements, hours of rest etc.) (6.2):<br>Flag endorsements/applications, Medical examination records, Safety manning level, CoC & proficiency/basic trainings, Shipboard familiarizations verified and found in order. |  |
| OTHER   | Yes   |  |

## REPORT

Any "Observation" included in the following narrative relate to omissions or potential deficiencies in the management system which may, if not corrected, lead to a non-conformity in the future. Observations to be sequentially numbered and with relevant clause number.

**An Interim ISM audit held in combination with Interim ISPS and MLC audit due to new ship on delivery while the vessel was berthing at Hyundai Heavy Ind Co., Ltd, Ulsan, S. Korea.**

**An opening meeting was held with the senior management of the ship and superintendent and include;**

- Introduction of the auditor,
- Explanation of the scope and objective of the audit,
- A description of the audit method to be employed including detailing the sampling method and use of audit trails,
- Confirmation that resources, documentation and facilities are available,
- Confidentiality explained.,
- The safety requirements to be observed,
- Discuss and agree the plan for the verification and fill out the audit plan, including time of closing meeting,

A tour of the vessel carried out in accompany with the ship's master and C/O, and meanwhile random selected crew were interviewed and found that all have a comprehensive understanding of the effective implementation of the ISM code and the company's SMS requirements.

STCW including 2010 amendments compliances were verified through samples and covered the following items-;

- 1) Demonstration of effective communication in a common working language
- 2) Shipboard familiarization
- 3) Safety manning level

Relevant information on the SMS, including the operation and use of key shipboard equipment relevant to safety and pollution prevention, were given in a working language understood by all ship's personnel.

Close meeting held with the Master, superintendent and senior officers covering audit scope/objective, audit limitations/sampling process and summary of the findings/recommendations, etc.

On satisfactory completion of the audit, an Interim SMC certificate valid for 6 months has now been issued on behalf of flag state.

- End